

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant: Henry AZIMA et al.
Title: ACOUSTIC DEVICE AND
METHOD FOR DRIVING IT
Appl. No.: 09/834,960
Filing Date: 04/16/2001
Examiner: Unassigned
Art Unit: Unassigned



INFORMATION DISCLOSURE STATEMENT
UNDER 37 CFR §1.56

Commissioner for Patents
Washington, D.C. 20231

Sir:

Submitted herewith on Form PTO-1449 is a listing of documents known to Applicants in order to comply with Applicants' duty of disclosure pursuant to 37 CFR §1.56. A copy of each listed document is being submitted to comply with the provisions of 37 CFR §1.97 and §1.98.

The submission of any document herewith, which is not a statutory bar, is not intended as an admission that such document constitutes prior art against the claims of the present application or that such document is considered material to patentability as defined in 37 CFR §1.56(b). Applicants do not waive any rights to take any action which would be appropriate to antedate or otherwise remove as a competent reference any document which is determined to be a *prima facie* art reference against the claims of the present application.

TIMING OF THE DISCLOSURE

The listed documents are being submitted in compliance with 37 CFR §1.97(b), before the mailing date of the first Office Action on the merits.

RELEVANCE OF EACH DOCUMENT

The documents are discussed in the specification of the present application.

Applicants respectfully request that any listed document be considered by the Examiner and be made of record in the present application and that an initialed copy of Form PTO-1449 be returned in accordance with MPEP §609.

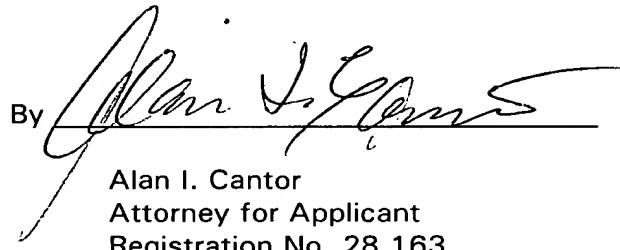
The Commissioner is hereby authorized to charge any additional fees which may be required regarding this application under 37 C.F.R. §§ 1.16-1.17, or credit any overpayment, to Deposit Account No. 19-0741. Should no proper payment be enclosed herewith, as by a check being in the wrong amount, unsigned, post-dated, otherwise improper or informal or even entirely missing, the Commissioner is authorized to charge the unpaid amount to Deposit Account No. 19-0741.

Respectfully submitted,

Date AUG 07 2001

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By



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| Form PTO-1449 (MODIFIED) | | U.S. DEPARTMENT OF COMMERCE PATENT AND TRADEMARK OFFICE | | ATTY. DOCKET NO. 085874-0316 | | SERIAL NO. 09/834,960 | |
|--|-----------------|---|-----------|---------------------------------|-----------|------------------------------|----------------------------|
| INFORMATION DISCLOSURE CITATION | | | | APPLICANT Henry AZIMA et al. | | | |
| Date submitted to PTO: <i>(Use several sheets if necessary)</i> | | AUG 07 2001 | | FILING DATE 04/16/2001 | | GROUP ART UNIT Unassigned | |
| DOMESTIC PATENT DOCUMENTS | | | | | | | |
| EXAMINER INITIAL | REF | DOCUMENT NUMBER | DATE | NAME | CLASS | SUB-CLASS | FILING DATE IF APPROPRIATE |
| | A1 | 6,192,136 | 2/20/2001 | AZIMA et al. | 381 | 338 | |
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| FOREIGN PATENT DOCUMENTS | | | | | | | |
| REF | DOCUMENT NUMBER | DATE | COUNTRY | CLASS | SUB-CLASS | TRANSLATION | |
| | | | | | | YES | NO |
| A2 | WO 96/31086 | 10/3/1996 | WIPO | | | | |
| A3 | WO 97/09842 | 3/13/1997 | WIPO | | | | |
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| OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, Etc.) | | | | | | | |
| | A4 | J. W. S. Rayleigh, The Theory of Sound, Appendix of Progressive Waves, Vol. 1, 2nd Edition, 1980, Dover Publications Inc., pages 475-480. | | | | | |
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| | A5 | Martin Colloms et al., "Distortion Mechanisms of Distributed Mode Loudspeakers," Proceedings of the 104th Acoustic Engineering Society Convention, New York, 1997. | | | | | |
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| EXAMINER | | | | DATE CONSIDERED | | | |
| <p>* EXAMINER: Initial if citation considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include any copy of this form with next communication to applicant.</p> | | | | | | | |